



PTO/ISB/17 (10-08)

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Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# **FEE TRANSMITTAL** **For FY 2009**

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)  
180.00

## **Complete if Known**

Application Number 10/697,281-Conf. #8441  
Filing Date October 31, 2003  
First Named Inventor Kazuo Okada  
Examiner Name C. E. Rendon  
Art Unit 3714  
Attorney Docket No. SHO-0055

## **METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):  
☒ Deposit Account Deposit Account Number: 18-0013 Deposit Account Name: Rader, Fishman & Grauer PLLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

## **FEE CALCULATION**

### **1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	330	165	540	270	220	110	
Design	220	110	100	50	140	70	
Plant	220	110	330	165	170	85	
Reissue	330	165	540	270	650	325	
Provisional	220	110	0	0	0	0	

### **2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	52	26
Each independent claim over 3 (including Reissues)	220	110
Multiple dependent claims	390	195

Total Claims 20 - 25 or HP x = Fee Paid (\$)  
HP = highest number of total claims paid for, if greater than 20.  
Indep. Claims 8 - 9 or HP x = Fee Paid (\$)  
HP = highest number of independent claims paid for, if greater than 3.

### **3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets 100 - 100 = /50 = (round up to a whole number) x = Fee Paid (\$)

### **4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity)

Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00

### **SUBMITTED BY**

Signature: [Signature] Registration No. 29,211 Telephone (202) 955-3750  
Name (Print/Type): Carl Schaukowitz Date December 3, 2008



Effective on 12/08/2004.  
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## FEE TRANSMITTAL

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First Named Inventor	Kazuo Okada
Examiner Name	C. E. Rendon
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Attorney Docket No.	SHO-0055

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Each independent claim over 3 (including Reissues)	220	110
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<b>Total Claims</b> 20 - 25 or HP = <u>          </u> x <u>          </u> = <u>          </u> HP = highest number of total claims paid for, if greater than 20.	<b>Extra Claims</b> 8 - 9 or HP = <u>          </u> x <u>          </u> = <u>          </u> HP = highest number of independent claims paid for, if greater than 3.	<b>Fee (\$)</b> <u>          </u> = <u>          </u> <b>Fee Paid (\$)</b> <u>          </u>
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<b>SUBMITTED BY</b>			
Signature	Registration No. (Attorney/Agent)	29,211	Telephone (202) 955-3750
Name (Print/Type)	Carl Schawkowitch		Date December 3, 2008



Atty Docket No.: SHO-0055  
(PATENT)

*Daw*  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Kazuo OKADA

Application No.: 10/697,281

Filed: October 31, 2003

For: GAMING MACHINE COPING WITH  
AN ABNORMAL IMAGE SIGNAL

Confirmation No.: 8441

Art Unit: 3714

Examiner: C. E. Rendon

**INFORMATION DISCLOSURE STATEMENT**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449 or PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 or PTO/SB/08 be returned in accordance with MPEP §609.

- ☒ A copy of each listed document is being submitted to comply with the provisions of 37 CFR §§1.97 and 1.98

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent

reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

- ☒ 1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311 or an action that otherwise closes prosecution in the application, but before payment of the Issue Fee (37 C.F.R. §1.97(d)). Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. The Patent Office is authorized to charge deposit account **18-0013** in the amount of \$180.00 under 37 CFR §§ 1.97(d)(2) and 1.17(p) in view of the statement below.
- ☒ a. Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. No item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2). The Certification under 37 CFR §1.97(e)(2) is attached hereto.

- ☒ 2. Please charge any fee deficiency or credit any overpayment to **Deposit Account No. 18-0013** as needed to ensure consideration of the disclosed information.

Date: December 3, 2008

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Carl Se', followed by a horizontal line.

Carl Schaukowitch  
Registration No. 29,211

Rader, Fishman & Grauer PLLC  
1233 20<sup>th</sup> Street, N.W., Suite 501  
Washington, D.C. 20036  
Tel: (202) 955-3750  
Fax: (202) 955-3751



Atty Docket No.: SHO-0055  
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Respectfully submitted,



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